

**BABASAHEB
BHIMRAO
AMBEDKAR
UNIVERSITY**



LUCKNOW
प्रज्ञा शील करुणा
ESTABLISHED 1996

BULLETIN OF INFORMATION FOR ADMISSION TO Ph.D. PROGRAMMES (December, 2019)



BABASAHEB BHIMRAO AMBEDKAR UNIVERSITY
(A Central University)
(Accredited 'A' Grade by NAAC)
Vidya Vihar, Raebareli Road, Lucknow-226025, UP, India



Message from the Vice-Chancellor



Babasaheb Bhimrao Ambedkar University, Lucknow has become an ensemble of a multi-layered educational system in myriad disciplines which covers different aspects of teaching and research in various departments including, M.Phil and PhD programmes. We attract the brightest young minds from all over the country. They use the supporting and innovative research space provided by BBAU to actualize their potential.

We at BBAU firmly believe and practice the dictum “Pragya Sheel Karuna” the logo of the university and strive to prepare students to become better citizens of our country irrespective of their cultural and financial moorings and contribute to the welfare of society and country’s development. BBAU has been on the path of delivering quality education by developing young human resources on the foundation of ethical and moral values while boosting their leadership qualities, research culture and innovative skills.

Built on a sprawling 250 acres of lush green campus, this ‘temple of learning’ has excellent state-of-the-art infrastructure facilities conducive to higher teaching-learning environment and research. The main objective of the University is to provide higher education of global standards and hence, all the curricular programs are designed to meet international standards. Highly experienced and qualified faculty members, are continuously engaged in the maintenance and enhancement of a student-centric learning environment through innovative pedagogy, in such forms the backbone of the University.

In recent years, BBAU, Lucknow has made significant strides. It got NAAC Accreditation with Grade ‘A’ and has been ranked consistently at a higher pedestal by various ranking frameworks. Even we have started taking steps to gear up to participate in the world rankings. The University has been benchmarking its teaching and research processes by increasing its interface with foreign educational institutions through strategic MOUs for faculty and student exchange, joint research, joint conference and joint publication. International visibility of the university is being given top priority. We are constantly striving to boost quality education and draw the best academic and research personnel to the university. We are encouraging entrepreneurship and innovation on the campus by partnering with DICCI and EDI, Ahmedabad. We are facilitating campus recruitments and consistently striving to connect our students to the world.

The move towards inter-disciplinary research has opened up several options as well as created multiple challenges before the nation. At this juncture where young students are opting for global standard research education. The major focus should be on creating a platform for quality knowledge and bridging the gap between academia and industry.

It is a matter of great pride and privilege to be the head of this esteemed university that prepares today’s students to meet the challenges of the present day society. This has become possible with the untiring efforts of our University fraternity who have excellent academic consignment. Most of the faculty members of the University are involved in research by attracting funded projects from various research organizations like UGC, CSIR, ICSSR, DST, DBT, AICTE and industries. The outcome of the research is passed on to the students through live training and projects. The entrepreneurial zeal of the students is encouraged and nurtured through Entrepreneurial training.

I, therefore, call all the budding researchers of today to be the part of this academic ventures of the University and become scientist, academician and renowned researcher of tomorrow.

Prof Sanjay Singh
Vice Chancellor

PhD Admission Bulletin: December 2019

TO BE READ CAREFULLY

- An eligible applicant desirous to seek admission to PhD Course of the BABASAHEB BHIMRAO AMBEDKAR UNIVERSITY, Lucknow for the academic session December 2019 must read the contents of this Bulletin of Information carefully.
- Changes made in any programme after the release of this Bulletin shall become effective from the date it is posted on **PhD Admission Portal**: www.bbau.ac.in.
- University reserves the right to revise, amend, update, or delete any part of this Bulletin without giving any prior notice. Any change so made shall be updated on the PhD Admission Portal.
- Applicants shall be responsible for regularly checking the portal for any updates. Grievances resulting from lack of reading / consulting this bulletin will not be entertained.

FOREIGN STUDENTS

The University has a designated **Foreign Student Advisor** to handle all enquiries regarding rules and responsibilities for admitting Foreign and NRI Candidates. The foreign/NRI applicants may approach: **Prof. S. Victor Babu** (FOREIGN STUDENTS ADVISOR)

Phone No. +91 - 9455037120

E-mail: saragandlavb@gmail.com

Dealing Time: 09:30 a.m. - 1:00 p.m. & 2.00 p.m. - 5.00 p.m. (IST)

(The office will remain closed on Saturdays, Sundays and all Public / Gazetted Holidays)

All the candidates seeking admission to the PhD Courses are required to register online at Admission portal for notification and further updates: www.bbau.ac.in

IMPORTANT INSTRUCTIONS

Before filling the application form for online registration, the candidates are advised to read the contents of the Bulletin of Information carefully and also consult the BBAU PhD Ordinances, Rules and Regulations of the Babasaheb Bhimrao Ambedkar University available on the University website, www.bbau.ac.in which would be binding on them.

Research at BBAU

BBAU epitomizes in having the highest standards of research with eminent research faculty which match up with global standards of research. BBAU is committed to undertake research in the areas beneficial to industry and society. The research faculty based on their exemplary research has been successful in garnering prestigious awards and fellowships across the globe. The university awards more than 100 PhD degrees on an average every year. To give a further boost to research the university is partnering with the leading institution of India and abroad the university has signed several MOUs also.

RESERVATION POLICY

The reservation policy to be followed for admission in Academic Session 2019-20 as per the provisions of the academic ordinance Chapter- VII (clause 9) “for the purposes of admission to all courses of study in the university, 50% of the seats shall be reserved for SC/ ST students” and 10% seats shall be reserved for Economically Weaker Sections (EWSs) for admission as per the letter of MHRD, F.No.19-4/2019-CU.Cdn dated 23.01.2019 in the University.

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Help Desk Information

For any general queries related to Online Registration Procedure, the applicant may contact the help desk from 10AM to 5PM on Mobile no. **9120477744**, Email:- bbaucbt2019@gmail.com

Information related to the Admission Process

Schedule of Ph.D. Admission

Task	Start Date	End Date
Registration and Payment of Application Fee	29 th January, 2020	20 th February, 2020
Entrance Tests Centres*	TO BE ANNOUNCED LATER AT www.bbau.ac.in	
Declaration of Entrance Test Results / Grievance Handling		
Interviews/ Presentation of Synopsis**		
Meeting of Respective DRC		
PhD Course Work Commencement		

PhD Programmes are governed by BBAU PhD Regulations (Annexure I).

OPEN CATEGORY (Including OBC and EWS)	1000 INR (per course)
SC/ ST/ PH CATEGORY	500 INR (per course)

Centres for the Online Entrance Test

Entrance Test shall be conducted at the Centres located in the following cities:

<ol style="list-style-type: none"> 1. Bhagalpur 2. Darbhanga 3. Muzaffarpur 4. Patna 5. Bokaro Steel City 6. Dhanbad 7. Jamshedpur 8. Ranchi 9. Berhampur-Ganjam 10. Bhubaneswar 11. Cuttack 12. Rourkela 13. Sambalpur 14. Naharlagun 15. Dibrugarh 16. Guwahati 17. Silchar 18. Shillong 19. Aizwal 20. Kohima 21. Gangtok 22. Imphal 23. Asansol 24. Kolkata 25. Siliguri 26. Chandigarh 27. Jammu 28. Amritsar 29. Bhatinda 30. Jalandar 31. Ludhiana 32. Mohali 33. Patiala 34. Hamirpur 35. Shimla 36. Ajmer 37. Bikaner 38. Jaipur 39. Jodhpur 	<ol style="list-style-type: none"> 40. Sikar 41. Udaipur 42. Ghaziabad 43. Greater Noida 44. Meerut 45. Noida 46. Agra 47. Aligarh 48. Allahabad 49. Bareilly 50. Gorakhpur 51. Jhansi 52. Kanpur 53. Lucknow 54. Moradabad 55. Muzaffarnagar 56. Varanasi 57. Ambala 58. Hisar 59. Karnal 60. Kurukshetra 61. Faridabad 62. Gurugram 63. New Delhi 64. Dehradun 65. Haldwani 66. Roorkee 67. Guntur 68. Kurnool 69. Nellore 70. Rajahmundry 71. Tirupathi 72. Vijayawada 73. Visakhapatnam 74. Hubballi (Hubli) 75. Kalaburagi (Gulbarga) 76. Mangaluru (Mangalore) 77. Mysuru (Mysore) 78. Shivamogga (Shimoga) 	<ol style="list-style-type: none"> 79. Bengaluru 80. Hyderabad 81. Warangal 82. Karim Nagar 83. Chennai 84. Coimbatore 85. Madurai 86. Salem 87. Tiruchirappalli 88. Tirunelveli 89. Ernakulam 90. Thiruvananthapuram 91. Thrissur 92. Kozhikode 93. Panaji 94. Ahmedabad 95. Gandhi Nagar 96. Rajkot 97. Surat 98. Vadodara 99. Amravati 100. Aurangabad 101. Kolhapur 102. Mumbai 103. Nagpur 104. Nashik 105. Pune 106. Bhilai Nagar 107. Bilaspur 108. Raipur 109. Bhopal 110. Gwalior 111. Indore 112. Jabalpur 113. Sagar 114. Satna 115. Ujjain
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1. The University can change/shift the examination city centre opted by the candidate to another nearby centre if the number of candidates is more/less at any examination city centre.
2. **The Examination City Centre, once opted, shall not be changed. Please check the admit card carefully for your Name, Subject, Date of Birth, Gender, Examination Centre Name, City, and Category, etc. In case of any problem related to Admit card, please contact the given helpline numbers between 10:00 am to 6:00 pm.**

SEATS AVAILABLE IN VARIOUS DEPARTMENTS

Sl. No.	Specialization	Under Unreserved category	Under Reserved category (Please specify the category against Nos.)		Grand Total	SYLLABUS FOR ENTRANCE TEST
			SC/ST/EWS	Total of Reserved category		
1	Zoology	Parasitology	Nil	1 (ST)	1	The syllabus for the entrance test would be in consonance to what has been prescribed by UGC-NET/ ICAR/ CSIR/ ICMR/ DBT/ GPAT/ GATE/ PCI/ NCTE and such other bodies if any.
		Animal Behavior	1	Nil	Nil	
		Sericulture	Nil	1 (ST)	1	
2	Biotechnology	Microbial Biotechnology and Bioremediation	1	Nil	Nil	
		Structural bioinformatics and drug discovery	1	1 (ST)	1	
3	Law		1	1 (SC)/ 1 (ST)	2	
4	Computer Science		3	6 (SC)/ 3 (ST) 1 (EWS)	10	
5	Mass Communication and Journalism		3	1 (SC)/ 2 (ST)	3	
6	Mathematics	Fluid Mechanics	1	1 (ST)	1	
7	Physics	Molecular Modeling	1	Nil	Nil	
		Nanomaterials & Sensors	1	Nil	Nil	
8	Statistics		1	4 (SC)	4	
9	History		2	1 (SC)/1(ST)	2	
10	Education		2	1(SC)/1(ST)	2	
11	Library and Information Science		1	3 (SC)	3	
12	Horticulture		2	1(SC)/1(ST)	2	
13	Microbiology	Ph.D. Environmental Microbiology	4	3 (SC)/ 2(ST), 1 (EWS)	6	
14	Pharmaceutical Sciences	Ph.D. Pharmaceutical Sciences (Pharmacology)	5	3 (SC)/ 1(ST), 1 (EWS)	5	
15	Human Development & Family Studies		3	3 (ST)/ 2(SC)	5	
16	Management		3	2(SC)/1(ST)	3	
		Total -	36		51	87

Eligibility Criteria

1. Qualifying examinations

- i. The criteria for qualifying examination for each of the PhD programme is given in BBAU PhD Regulation (Annexure-I).

2. Equivalence Criteria

- i. The Departments of the University may ask the candidates concerned, wherever necessary, to get recognition/equivalence of their degrees/ diplomas/ certificates authenticated from the Association of Indian Universities/University Grants Commission/AICTE/Council of Boards of School Education in India (COBSE) on his/her own for the purpose of admission in the Department. Further, the cases of equivalence of degrees/certificates/diploma may then be put up before the University Authorities for further consideration and confirmation. However, the Certificates / Diplomas/ Degrees already recognized/equated by the University may not be referred for further verification.

3. Relaxations/Concessions

- The candidates belonging to the categories SC/ST/PWD shall be charged entrance examination fee at concessional rates.
- The candidates belonging to the SC/ST/OBC/PwD shall have 5% relaxation in the minimum marks required in the eligibility criterion for admission to Ph.D. Programmes.

4. Supernumerary

- Supernumerary¹ seats shall be available as per the University rules.

5. General Information

- i. Departments reserve the right not to fill up the vacant seats of the Ph.D. programmes in case of non-availability of a suitable candidate.
- ii. Admission of the candidates for Ph.D. programme is based on their suitability to specific specializations and also subject to fulfilling all other conditions laid down in the respective Ordinances of the BABASAHEB BHIMRAO AMBEDKAR UNIVERSITY.
- iii. For the list of allied subjects, the applicants may contact the respective head of the department during working hours.
- iv. The merit list for the unreserved category seats will comprise all the candidates in the order of merit including candidates under reserved category if they come in the unreserved merit. A candidate under reserved category, who figures in the unreserved merit list, is entitled to be considered for admission under the unreserved category. Admission to open category seats will be strictly in the order of merit without excluding candidates belonging to reserved category.
- v. The Departments shall get the relevant certificates (submitted by the applicant for availing the benefit of reservation) verified from the respective websites online.

6. RULES AND REGULATIONS

- 1) Each student is required to sign a declaration regarding discipline and conduct as per provisions of the Act & Statutes of the University.
- 2) If any candidate secures admission on the basis of fake declarations of result or any mistake, his/her admission shall be void, and the same will be cancelled as soon as the fact is detected. Action may also be taken against such candidates as per University rules.
- 3) The University reserves the right to change or modify the rules and regulations of the admission test and/or alter the number of seats allocated to each course of study.

¹ BBAU offers supernumerary seat to its' teaching and Non-teaching permanent employees who fulfill basic eligibility as per BBAU PhD regulations and secure more than 50% marks in CBT (45% in case of SC/ST/OBC/PwD) in consonance with Academic Council resolution and also upto 10% over and above of total sanctioned seats of the department may be given to Foreign/NRI applicants subject to fulfilling the BBAU guidelines for foreign and NRI students and due recommendation of **Foreign Student Advisor**.

- 4) On being selected for counseling, the selected candidates shall have to submit the following documents in original on or before the last date prescribed for the purpose:
 - a) Mark Sheet/Grade Sheet of the qualifying degree course.
 - b) Character Certificate from the Dean/Head/Principal of the University/Institution last attended.
 - c) Migration Certificate from the competent authority of the University/Institution last attended, on or before **30.04.2019**.
 - d) SC/ST/PwD/Kashmiri Migrant/NRI Certificate issued by the competent authority.
- 5) Applicants seeking admission to more than one PhD course in the University have to submit separate Application Forms. They should take separate admission tests for each course. Single Application Form for more than one course shall be summarily rejected.
- 6) For taking the PhD Entrance Test, it is necessary that the candidates must have passed the PG degree course in the relevant subject from a University recognized by the University Grants Commission with 55% marks in aggregate or its equivalent grade 'B' in UGC 7-point scale (5% relaxation or equivalent grade shall be provided to SC/ST/OBC(non-creamy layer)/PwD) [refer clause 3 of Ph.D. regulation of BBAU at Annexure I].
- 7) The percentage of marks in the qualifying examination shall be read without rounding off the percentage.
- 8) Mere appearing in the Entrance Test does not entitle a candidate to claim admission for any course.
- 9) Candidates against whom any disciplinary action has been taken at any stages in his/her earlier academic career shall not be admitted to any course in this University.
- 10) Enrolment of a student in any course of the University shall stand cancelled if he/she is held guilty of any cognizable offence. Enrolment may also be cancelled if his/her conduct is found by the Proctorial Board of the University to be at variance with that normally expected from a student of the University.
- 11) 5% relaxation shall be allowed to the candidates belonging to SC/ ST/ OBC (non-creamy layer)/ differently-abled category in the CBT conducted by university.

Registration Fee

- a) Registration fee (non-refundable) for the Computer-based Test (CBT) is following:

OPEN CATEGORY	1000 INR (per course)
SC/ ST/ PH CATEGORY	500 INR (per course)

- b) The registration fee has to be paid during the online registration process through the available online payment options.
- c) The candidate shall be required to pay a separate registration fee for each PhD Programme, for which the candidate is applying.
- d) Please note that the online registration process shall be completed only after realization of the online registration fee.
- e) The registration fee will not be refunded/adjusted/charged back in any circumstances.

Application Fee Deposit Procedure

1. During filling up the last part of the form candidate need to select Bank Payment Gateway SBI.
2. After clicking on **SUBMIT** button once the form is complete and verified by the applicant, the candidate will be redirected to another portal.
3. The applicant will be redirected to another portal where candidate has to make ONLINE payment of the application form.
4. Application fees – Please refer Registration Fee.
5. Please refer Bulletin and How to apply document for any kind of clarification.

Entrance Examination

- There shall be an online entrance examination (CBT mode) for PhD programmes.
- The information of the link to download the Admit Card for the entrance examination shall be displayed separately on the website of BABASAHEB BHIMRAO AMBEDKAR UNIVERSITY (www.bbau.ac.in).
- The syllabus for the entrance test would be in consonance to what has been prescribed by UGC-NET/ICAR/CSIR/ICMR/DBT/GPAT/GATE/PCI/NCTE and such other bodies if any.
- Electronic Gadgets, like Mobile Phone, Calculator etc., edibles, narcotics, alcohol and tobacco products are strictly prohibited inside the Test Centre.
- Smoking, eating and drinking are strictly prohibited inside the examination hall.
- The applicants are provisionally allowed to appear for the Entrance Test and their candidature is subject to the fulfilment of the Minimum Eligibility Criteria at the time of Admission.
- **Online Examination will be of 2hrs. duration consisting of 100 objective type (Multiple choice) Questions.** The syllabus of the Entrance Test will be 50% of research methodology (Part A) and 50% shall be subject-specific (Part B). [As per BBAU PhD Regulation]
- For Admission to PhD programmes, Entrance Test shall be of qualifying nature with qualifying marks as 50% (5% relaxation shall be given to SC/ ST/ OBC/ PH category students as per PhD regulation of BBAU).
- All the essential qualifications including NET etc. will be considered till the last date of filling of online application.
- There shall be NO NEGATIVE MARKING.

HOW TO APPLY

Important Note: Please fill all the details very carefully. After submission of form candidate will not be able to edit/delete any fields.

Before filling of the Online Application, for convenience, the Candidate should have the following documents/information ready -

(a) Scanned copy of recent Colored Photograph

(b) Scanned Signature of the candidate

(c) A valid E-mail id and Mobile Number are mandatory for the submission of Online Application. (Provided E-Mail id and Mobile number are advised to keep active till the declaration of results. BBAU will send important information with regards to the application on the registered E-Mail/Mobile number.)

Note:

1. After submission of Application Form, all the particulars mentioned in the form will be considered as Final. Candidate will not be able to edit/delete any fields after submission of the form.
2. Applications without appropriate Application Fee, required documents & information as per advertisement are liable to be rejected.
3. Candidates are advised to fill in the online application form with the utmost care as no correspondence regarding change of details shall be entertained at any later stage.

STEPS TO BE FOLLOWED TO FILL THE ONLINE APPLICATION

Instructions and Important Links shall guide the candidate to fill the Application Form. So the candidates are advised to read them very carefully and follow them strictly.

Step 1: Candidates are first required to go to the **BBAU Lucknow** website: <http://www.bbau.ac.in/>

Step 2: Candidate will be directed to the web page to the following information. Please make a note of the Important Information's.

- Advertisement
- How to Apply
- FAQ
- Fee Deposit Procedure

Step 3: Candidate Registration:

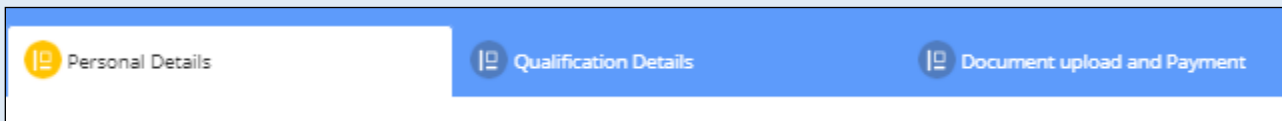
Candidates needs to be filled his/her below mentioned details –



After clicking on “Go to application from” Button below form will appear –



Use User ID and Password as received for login



Click on respective tabs one by one to fill the required details then click on **Save & Next** button to proceed for next tab.

Personal Details –

Correspondence Address Details

Nationality*
Indian

Address Line 1*
Enter Address

Address Line 2*
Enter Address

Address Line 3*
Enter Address

Country*
Country

State*
State

District*
District

Pincode*
Pincode

Is Permanent Address same as Correspondence Address? *
 Yes No

Permanent Address Details *

Address Line 1*
Please enter Permanent Address

Address Line 2*
Please enter Permanent Address

Address Line 3*
Please enter Permanent Address

Country*
Permanent Country

State*
Permanent State

District*
Please select City

Pincode*
Please enter the Permanent Pincode

SAVE & NEXT

Qualification Details -

Qualification Details

Matriculation (10th) Details

Name of Board*
Name of Board

Institution Name & Address*
Institution Name & Address

Subject/Specialisation*
Subject/Specialisation

Year Of Passing*
YYYY

Percentage*
Percentage

Intermediate Details

Name of Board*
Name of Board

Institution Name & Address*
Institution Name & Address

Subject/Specialisation*
Subject/Specialisation

Year Of Passing*
YYYY

Percentage*
Percentage

Under Graduation (UG) Details

NET Details

Subject/Specialisation*
Subject/Specialisation

Year*
YYYY

Roll No.
Roll No.

RF Details

Subject/Specialisation*
Subject/Specialisation

Year*
YYYY

Roll No.
Roll No.

M.Phil Details

Subject/Specialisation*
Subject/Specialisation

Year*
YYYY

Roll No.
Roll No.

Other(If Any) Details

Subject/Specialisation*
Subject/Specialisation

Year*
YYYY

Roll No.
Roll No.

SAVE & NEXT

Document Upload and Payment -

The screenshot shows a web interface for document upload and payment. At the top, there is a section titled "Documents to be Uploaded". Below this, there are two upload instructions: "Upload your recent passport size color photograph not more than 3 weeks old." with a "Click here to upload" button, and "Upload your scanned Signature" with a "Click here to upload" button. Below the upload section is a "Declaration" section. The declaration text states: "I do hereby declare that all statements made in application are true, complete and correct to the best of my knowledge and belief. I also declare that I have submitted one application only. I am duly aware that in the event if any particulars or information furnished by me is found to be false/incomplete/inaccurate or I would found indulging in some unlawful act at any time, my candidature for the admission is liable to be summarily rejected/cancelled and in the event of any statements/information submitted found false/incomplete even after my admission are liable to be terminated without any notice. I also understand and agree that Test City/Test State choice filling does not guarantee, that I will get the preferred city/state." Below the declaration text is a "stripax" logo and a small image of a document. At the bottom right of the form, there are two buttons: "Preview" and "Submit". The "Preview" button is circled in red.

By clicking on **Preview** Button, A candidate can view the application form as filled by him/her. The candidate can always edit the Application Form **before clicking SUBMIT Button**.

After confirming and ensuring that the filled form is correct, the candidate shall click on SUBMIT button. After submission of form candidate will get SMS/Email on his/her mobile no. /Email id with his/her application number. Candidates are required to preserve the application number for future use.

Important Note: The candidates are required to fill all the details very carefully. After submission of form candidate will not be able to edit/delete any fields.

Step 5:

Payment of Application Fee

4. During filling up the last part of the form candidate can select Bank Payment Gateway SBI as per their choice and convenience.
5. After clicking on **SUBMIT** button once the form is complete and verified by the applicant, the candidate will be redirected to another portal.
6. The applicant will be redirected to another portal where candidate has to make **ONLINE** payment of the application form.
7. Application fees – Please refer advertisement.
 - **Bank charges may applicable as per bank services**

Frequently Asked Questions

1. I have made a mistake in the application (DOB/Gender/Email ID/Name/Parents Name/Pin code/Roll no/ % / Marks/Challan Number/Documents/Photo & Signature/Work Experience) while registering Can this be Rectified?

Ans : No changes are allowed once form is submitted.

2. I haven't received my User id & password, what should I do?

Ans : Check Junk mails. Connect with the Helpdesk.

3. I fall into the NC/OBC category, what is the eligibility?

Ans : Refer Advertisement.

4. How do I calculate my marks into CGPA scores?

Ans : Refer formula provided at the back side of the marksheet/degree.

5. I did the payment online the webpage said the transaction was a failure. But I have received the SMS saying money was deducted

Ans : Please check with the Bank.

6. Name on the educational documents & the other docs (Passport/PAN/Aadhaar Card) does not match, which name do I mention on the application?

Ans : Name mentioned on the 10th mark sheet and passing certificate should be preferred.

7. In case the website is having functional issues cause of which I am unable to complete my application, what should I do?

Ans : Contact Helpdesk.

8. Is there any Relaxation %.

Ans : Please refer advertisement.

9. What is the eligibility for physically handicapped candidates?

Ans : Please refer advertisement.

10. Can I apply for multiple specialisation?

Ans : Yes, if are eligible, however for each specialization new form needs to be filled.

11. I have not received the confirmation of form submission? How can I know it's successfully submitted?

Ans : Contact Helpdesk.

12. I have lost/forgot the user id & password.

Ans : Contact Helpdesk.

13. I do not have my own citizenship certificate.

Ans : Please refer advertisement

14. How to submit the Application fee? And what are the bank details?

Ans : Refer fee deposit procedure

15. Can data once entered be edited?

Ans : Once form is submitted no correction is allowed.

16. What is the form submission Fee?

Ans : Please refer advertisement

17. Can I deposit the required fee ONLINE using credit card/ATM/debit card etc. of other bank?

Ans : Yes

18. What are the minimum eligibility criteria /minimum Qualification Criteria?

Ans : Please refer advertisement

19. Candidate wanted to know about the refund status

Ans : Connect with your Bank.

20. Candidate needs to know about application status

Ans : Contact Helpdesk.

(A) Important Instructions for Candidates

(Candidates are advised to go through the instructions printed on admit card carefully before appearing in the Examination)

- i. Candidates MUST bring the following documents on the day of examination at the test centre.
 1. Print out of the downloaded Admit Card.
 2. One passport size photograph (same as uploaded on the Online Application Form) for pasting on the specific space in the attendance sheet at the Centre during the Examination.
 3. Any one of the following photo IDs issued by the Govt. of India (original, valid and non- expired), viz. / Driving License/ Voter ID/ Passport/ Aadhaar Card (With photograph)/ Ration Card (with photograph).
 4. PwD certificate issued by the Competent Authority, if claiming the relaxation under PwD category.
- ii. The candidates shall report at the Examination Centre one hour and thirty minutes (i.e. 90 minutes) before the commencement of the examination so as to complete the frisking and registration formalities well before the time.
- iii. The registration desk will close 30 minutes prior to the examination.
- iv. The candidates are advised to read the instructions on the admit card carefully and follow them during the conduct of the examination.
- v. The candidates should take their seat immediately after the opening of the examination hall. If the candidates do not report in time due to any reason i.e. traffic jam, train/bus delay etc., they are likely to miss some of the general instructions to be announced in the Examination Rooms/Halls. The BBAU shall not be responsible for any delay.
- vi. The candidate must show, on-demand, the Admit Card for entry in the examination room/hall. A candidate who does not possess the valid Admit Card shall not be allowed to enter the Examination Centre.
- vii. Candidates should find and sit on their allocated seat only. Any candidate found to have changed room/hall or the seat on his/her own other than that allotted would be considered as a case of Unfair Means and the candidature shall be cancelled and no plea would be accepted.
- viii. The candidate should ensure that the question paper available on the computer is as per the opted Subject Group indicated in the Admit Card. In case, the subject of question paper is other than the opted paper, the same may be brought to the notice of the Invigilator concerned.
- ix. Candidates are not allowed to carry any baggage inside the Examination Centre. BBAU will not be responsible for any belongings stolen or lost at the premises.
- x. Candidate shall appear at their own cost at Centre on Date and shift as indicated in their Admit Card issued by the BBAU. Under no circumstances, the choice of cities for centre and shift provided in the Admit Card shall be changed.
- xi. No candidate, without the special permission of the Centre Superintendent or the Invigilator concerned, will leave his/her seat or Examination Room/Hall until the full duration of the paper is over. Candidates must follow the instructions strictly as instructed by the Centre Superintendent/Invigilators. Candidates should not leave the room/hall without handing over their rough sheets to the Invigilator on duty in the Room/Hall.
- xii. Candidates should not be in possession of any material listed in the list of prohibited material.
- xiii. Virtual calculator, wherever permissible, will be available on the computer screen during the Entrance Test.
- xiv. All calculations/writing work is to be done only in the rough sheet provided at the centre in the Examination Room/Hall. On completion of the test, candidates must hand over the rough sheets to the invigilator on duty in the Room/Hall.

- xv. The candidates must sign and paste the photograph on the Attendance Sheet at the appropriate place.
- xvi. The candidates are governed by all Rules and Regulations of the BBAU with regard to their conduct in the Examination Hall. All cases of **Unfair means** will be dealt with strictly as per rules.

Candidates who fail to bring above-mentioned documents will not be allowed to appear in the examination.

(B) Prohibited Materials

Candidates are not allowed to carry any textual material, Calculators, Docu Pen, Slide Rules, Log Tables and Electronic Watches with facilities of calculator, printed or written material, bits of papers, mobile phone, Blue- tooth devices, pager or any other electronic gadget/ device etc.

- The candidates are prohibited to bring any kind of electronic gadgets/device in the examination room/hall.
- If any candidate is in possession of any of the above item, his/ her candidature will be treated as unfair means and lead to cancellation of the current examination & also debar the candidate for future examination(s) of the university & the material will be seized.
- Smoking, chewing gutka, spitting etc. in the Examination Room/Hall is strictly prohibited.
- Instrument/Geometry/Pencil box, Handbag, Purse, Any kind of Paper/ Stationery, Eatables/snacks and Tea/coffee/cold drinks/Water (loose or packed), Mobile Phone/ Ear Phone/Microphone/Pager, Calculator, Camera, Tape Recorder, any metallic item or electronic gadgets etc. are NOT allowed in the Examination Room/Hall.

Diabetic students will be allowed to carry eatables like sugar tablets/fruits (like banana/ apple/orange) and transparent water bottle to the examination hall. However, they will not be allowed to carry packaged foods like chocolate/candy/sandwich etc.

(C) Code of Conduct for Students admitted (Annexure-II) :- The code of conduct document of students admitted to BBAU outlines disciplinary policies that pertain to students and is designed to provide information and expected from the students admitted to the roles of this University.

UNIVERSITY FACILITIES

COMPUTER CENTRE

Presently University has a Computer Centre equipped with computers and internet facilities under the info net program of UGC scheme, which is open for all. The University is also connected with National Knowledge Network (NKN).

HEALTH CARE

The University provides health services through Medical Consultants to all the students, Administrative staff, Faculty members and Subordinate staff of the University including Boys' Hostel and Girls' Hostel for the residential students of the University. University has also established Yoga and wellness center with the help of state government to provide alternative health care with dedicated instructors/consultants to all the staff and students.

INFORMATION & GUIDANCE BUREAU (I & GB)

In order to build their career, students need to know what the options available to them are and where and how they can achieve it. It is committed to do the needful for the benefit of the students.

The main function of the Bureau is to disseminate the Employment Information for various courses offered by the University. The students are explained about various job opportunities and scheme of competitive examinations and about higher education in India and abroad. Tasks of the bureau are to conduct Career Conferences where eminent personalities from different walks of life will address the students, collect and display various career related information, provide handbooks of foreign Universities, newspapers, bulletin of sister bureau, Employment News and occupational information files for the reference of students. Further it is also to render vocational guidance to the students individually or in group and to collect and disseminate the occupational information, Training facilities, financial assistance available in India and abroad.

LAN/Wi-Fi

Most buildings in the University such as Administrative Block, departments, Hostels, and some residential quarters in the campus are fully equipped with LAN facility. The state of the art Wi-Fi connectivity across the campus provides high-speed internet.

LIBRARY

The Gautam Buddha Central library is housed in a separate huge library building and fulfills its objective to supplement educational and information needs of the faculty and students through its books and periodical sections having adequate reading rooms, journals and video library. It is equipped to facilitate visually handicapped students as well. The Library services are also extended to non-teaching staff and visitors. It has adequate collection to satisfy the information need of the user.

GUEST HOUSE

The Guest House of the University is located within the University campus and is providing round the clock boarding and lodging services to Guests. Guest House rooms are allotted to University Guests free of cost and to other State or Central Govt. organizations, Universities, Public Sector Undertakings on request, subject to availability, on payment. However, first priority is given to the University activities.

MESS /CANTEEN

The Mess facility is available in the University in all the hostels. A Canteen is also running in the campus during working days which is open for all.

PLACEMENT CELL

The University Placement Cell (UPC) since its inception the cell is helping the students to be placed in various organizations across India and abroad. The UPC is dedicated to support the students in their pursuit to get jobs in reputed organizations in India and abroad. Placement Cell is also organizing specialized lectures, workshops and job fairs to provide students a platform to showcase their talents.

SC/ST CELL

The staff of the Cell is actively engaged in coordinating and solving the problems in the matter of admissions in the University, utilization and timely disbursement of scholarships, accommodation in hostels, conduct of remedial coaching, UGC-NET coaching, Competitive Exam coaching and other measures with a view to see that the education system succeeds in bringing the Schedule Castes and Scheduled Tribes to a level quantitatively as well as qualitatively at par with the rest of the society. The Cell is also instrumental in monitoring the implementation of rule of reservation for SC/ST in admission and appointments on teaching as well as non-teaching posts. The University is providing Rs. 2000/- for dissertation. Further a Gold Medal is awarded to topper among the SC/ST students.

COACHING CENTRE FOR SC/ST/MINORITIES

The University offers the following Programmes for the development of SC/ST and Minority students.

- i) **UGC Remedial Coaching Programme (RCP):** Under RCP, additional coaching is provided to the students who face difficulties in learning the subject concerned and English language. In addition, it conducts classes for personality development.
- ii) **UGC NET Coaching Programme:** It offers special coaching for joint CSIR – UGCNET examinations which are being conducted twice a year.
- iii) **Residential Coaching Academy:** This Programme offers special coaching for Civil Services (IAS/IPS/IFS), State Services, SSC, RRB and other competitive examinations which are being conducted by the Central and State Governments.

COMMUNITY COLLEGE

Community College has started working in the educational session 2013-14, to impact skill development programmes for youth under National Vocational Education Qualification Framework (NVEQF) of Ministry of Human Resource Development. Presently community college is offering several certificate courses for profound skill development.

SPORTS

A healthy person keeps a healthy and active mind and sports are the best mechanism to accomplish that objective. The University, being sensitive for the overall development of students, realizes that a balance in physical, mental and emotional growth is essential for the complete development of personality.

At present, the University has a multipurpose sports ground in the campus having facilities like Football, Volleyball, Cricket, athletics etc. In addition, there is an indoor Gymnasium equipped with all modern facilities for physical training. Indoor games like Badminton, Table Tennis, Chess, and Carom facilities are available. All the games, indoor and outdoor, are facilitated by experts and trainers. University is sure that these facilities would instill in students a sense of confidence besides physical fitness. It would help them in becoming a complete human being so that they can withstand the challenges of life.

UNIVERSITY SCIENCE INSTRUMENTATION CENTRE (USIC)

As per the UGC's mandate, University has established University Science Instruments Centre (USIC). In fact, the creation of such a center is becoming obligatory for every university for the reason that the modern day science demands the use of precision equipments for the acceptability of data. Such centres help stop duplication of sophisticated equipments, which cost huge amounts and in turn help in regulating the use of the sanctioned money in the most judicious way.

This, which is housed on the ground floor of the School for Environmental Sciences building. USIC has three precision equipments:

1. Scanning Electron Microscope, (SEM, Model JSM-6490 LV of JEOL, and Japan) with EDX facility and two preparatory units, viz., Critical Point Dryer and Ion Sputter Coater;
2. Fourier Transform Infrared Spectrometer with ATR - Attenuated Total Reflectance (FT-IR Spectrometer, Model Nicolet TM 6700 OF Thermo Scientific, USA);
3. Fast Performance Liquid Chromatography (FPLC, Model EKTA 10 of GE Healthcare Bioscience. Sweden). These equipments have been procured and are under regular use in USIC.
4. Purchase of X-ray diffractometer is at the verge of completion. Few more sophisticated equipments

are in pipeline.

WOMEN CELL AND DAY CARE CENTRE

The University is doing a lot of work towards gender equality. As a path breaking decision, a provision of gender budgeting has been made on BBAU financial road map. Gender budgeting basically means that a part of the budget will be set aside for gender specific activities.

The University has basic facilities for the women employees and girl students including a day care center in the campus.

NATIONAL CADET CORPS (NCC) AND NATIONAL SERVICES SCHEME (NSS)

Training in NCC instills qualities like nationalism, patriotism, discipline, team spirit, spirit-de-corps, leadership and self-confidence and promotes overall personality development. NCC gives a tremendous opportunity to one and all for betterment, irrespective of caste, creed, religion or economic status. Based on the aims of NCC, the syllabi for the Senior Wing/Division cadets cover a period of three years.

Similarly, to develop a sense of social and civic responsibility among the students, BBAU NSS wing was started with following objectives:

1. To make understand the community in which they work,
2. To understand themselves in relation to their community,
3. To identify the needs and problems of the community and involve them in problem-solving.

PhD Regulation

Annexure I



बाबासाहेब भीमराव अम्बेडकर विश्वविद्यालय

केन्द्रीय विश्वविद्यालय
विद्याविहार, रायबरेलीरोड, लखनऊ-226025

BABASAHEB BHIMRAO AMBEDKAR UNIVERSITY

(A Central University)
VidyaVihar, Rae Bareilly Road, Lucknow-226025

M.Phil./Ph.D. Regulation (2016) as Amended in 2019

1. Introduction

- 1.1 This regulation shall be called Master of Philosophy (M.Phil./Doctor of Philosophy (Ph.D.) regulations amended in 2019 incorporating the provisions of the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2019.
- 1.2 The degree of Master of Philosophy (M.Phil./Doctor of Philosophy (Ph.D.) of Babasaheb Bhimrao Ambedkar University (herein after referred to as the M.Phil./Ph.D. degree respectively) shall be awarded to those fulfilling the conditions as laid down in these regulations.

2. Eligibility Criteria for Admission to M.Phil. Programme:

- 2.1 Candidates for admission to M.Phil. programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- 2.2 A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/differently-abled and other categories of candidates in their respective category as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

3. Eligibility Criteria for Admission to Ph.D. Programme:

Subject to the conditions stipulated in these Regulations, the following persons are eligible to seek admission to the Ph.D. programme:

- 3.1 Master's Degree holders satisfying the criteria stipulated under Clause 2 above.
- 3.2 Candidates who have cleared the M.Phil. Course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade

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in a point scale wherever grading system is followed) and successfully completing the M.Phil. Degree shall be eligible to proceed to do research work leading to the Ph. D. Degree in the same Institution in an integrated programme. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/differently-abled and other categories of candidates in their respective categories as per the decision of the Commission from time to time.

3.3 A person who's M.Phil. Dissertation has been evaluated and the viva voce is pending may be admitted to the Ph.D. programme of the same Institution.

(Above mentioned points 3.2 & 3.3 shall be applicable only for integrated courses)

3.4 Candidates possessing a Degree (considered equivalent to M.Phil. Degree of an Indian Institution) from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme. An equivalence of the University will be recommended for the equivalency of these degrees with UGC recognized degrees in India.

3.5 *The admission for Foreign/NRI students shall be taken up as per University regulations prescribed for the Foreign/NRI students.*

4. Duration of the Programme:

4.1 M.Phil. programme shall be for a minimum duration of two (2) consecutive semesters / one year and a maximum of four (4) consecutive semesters / two years.

4.2 Ph.D. programme shall be for a minimum duration of three years, including course work and a maximum of six years. *The Course work has to be passed in the one semester from the date of registration. In case a candidate fails to clear the course work in one attempt, he/she shall have to clear the exam the next subsequent semester. No additional attempts shall be given thereafter.* All candidates admitted to the M.Phil. and Ph.D. programmes shall be required to complete the course work prescribed by the Department during the initial one/two semesters.

4.3 Extension beyond the above limits will be governed by the relevant clauses as stipulated in the Statute/Ordinance of the University.

4.4 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of one year for M.Phil. and two years for Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of M.Phil./Ph.D. for up to 240 days.

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5. Procedure for Admission:

5.1 The University will conduct M.Phil. and Ph.D. entrance test as per following guidelines.

5.1.1 The University shall decide a predetermined and manageable number of M.Phil. and/or Ph.D. scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar-teacher ratio (as indicated in Para 7.5), laboratory, library and such other facilities on half yearly for Ph.D. and on annual basis for M.Phil. through their respective Departmental Research Committee (D.R.C.);

5.1.2 It will be Notified on the Babasaheb Bhimrao Ambedkar University (BBAU) website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates well in advance. The University will conduct Ph.D. Entrance Test twice in a year.

5.1.3 The reservation for admission in M.Phil./Ph.D. Programme shall be applicable as per Chapter VII, Clause 9 of the University Academic Ordinance. Further, the distribution of seats shall be as per reservation rules, 5% seat shall be reserved for differently disabled candidates on Horizontal basis. Further, 10% Reservation for Economically Weaker Section (EWSs) for admission shall be given as per Govt. of India Rules as amended time to time. Two Supernumerary seats under the Ph.D. courses in each Department will be available for permanent Teaching and Non-teaching employees of the University. 15% Supernumerary seats will be available for Foreign/NRI students in M.Phil. and Ph.D. programme.

5.2 The admission shall be based on the criteria notified by the University, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.

5.3 The University as mentioned in Clause 1.2 shall admit candidates by a two stage process of Entrance test and Interview:

5.3.1 50% marks of the Entrance Test shall be the qualifying marks. The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific along with relaxations mentioned in clause 2.2. The Entrance Test shall be conducted at the Centre(s) notified in advance (changes of centres, if any, also to be notified well in advance) at the level of the University as mentioned in clause 1.2; and

5.3.2 An interview/viva-voce to be organized by the University as mentioned in clause 1.2 when the candidates are required to discuss their research interest/area through a presentation before a duly constituted Department Research Committee (DRC).

5.4. The Entrance test shall be based on single question paper of two hours consisting of 100 objective type questions of one (01) mark each. The question

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paper will consist of 50 questions from Research Methodology and another 50 questions will be from the specific subject. The Merit List of candidates shall be prepared on the basis of the following criteria:

- (A) 50% of total marks in the entrance examination would be basis to qualify for the interview. Further, a relaxation of 5% marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/OBC (Non-creamy layers)/ differently-abled category in their respective category in the entrance examination conducted by the University as per the University Grants Commission Gazette Notification No. 325, Extraordinary Part-III, Section -4 dated 27.08.2018 (first amendment in 2018)
- (B) Another 30 marks will be categorized as follows.
- (a) (1) For Ph.D:- Break of margin 10 marks shall be added to the marks obtained in the written examination to the candidates who have qualified in the National Eligibility Test or equivalent test of U.G.C/C.S.I.R/I.C.A.R/I.C.M.R/G.A.T.E/G.P.A.T/D.B.T/D.A.E/I.C.H.R. or passed M.Phil. at the time of interview, or those who are currently working in an externally funded project for fellowship in the University in the following manner:
For J.R.F./Project Fellow/Research Assistant or equivalent = 10 Marks
M.Phil. + NET = 07 Marks
M.Phil./NET = 05 Marks
- (a) (2) For M.Phil:- Break of margin 10 marks shall be added to the marks obtained in the written examination to the candidates who have qualified in the National Eligibility Test or equivalent test of U.G.C/C.S.I.R/I.C.A.R/I.C.M.R/G.A.T.E/G.P.A.T/D.B.T/D.A.E/I.C.H.R. or passed M.Phil. at the time of interview, or those who are currently working in an externally funded project for fellowship in the University in the following manner:
For J.R.F./ Project Fellow/Research Assistant or equivalent = 10 Marks
NET with no fellowship = 05 Marks
Marks obtained against JRF/NET will be given in their respective category only.
- (b) Academic career: 05 mark (02 marks to be given as per final percentage of Bachelor's degree and 03 marks for Master's degree).
- (c) The Interview/Viva Voce shall be based on allotment of 05 marks on competence of candidate for proposed research plan, 05 marks on feasibility/suitability of the plan and 05 marks on its novelty.
- (C) The final merit list shall be prepared by adding the marks obtained in entrance test (70 percent out of 100 marks) and 30 % marks obtained under (a), (b), (c) & (d) of (B) above by the University (as per the University Grants Commission Gazette Notification No. 389, Extraordinary Part-III, Section -4 dated 16.08.2018 (2nd amendment in 2018).
- 5.5 The interview/viva voce shall also consider the following aspects, viz. whether:
- 5.5.1 The candidate possesses the competence for the proposed research;
- 5.5.2 The research work can be suitably undertaken at the University;
- 5.5.3 The proposed area of research can contribute to new/additional knowledge.
- 5.6 The University shall maintain the list of all the M.Phil. /Ph.D. registered students on its website on half yearly (semester) basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrollment/registration.

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*Student qualified JRF/NET in the respective category [UR/SC/ST] will be considered in the same category.

6. Registration and Tuition Fee:

Students admitted shall register and pay the fees for the semester in which he/she is admitted. The fee structure for both M.Phil./Ph.D. admission will be as per the University norms and revision may be carried out by the University in the fee structure time to time as it is required. Fellowship for both M.Phil. and Ph.D. students shall be given as per UGC norms issued from time to time.

6.1. Late fee of Rs. 200/- shall be charged for the first ten days after the due date of registration/fee submission notified by the University. But for students who have gone outside the state for fieldwork with due permission of the Supervisor and Head of Department, no late fee shall be charged for the first ten days (Late fee for foreign students shall be US \$ 15. It may be revised time to time as prescribed in the NRI Regulation). In case the period of absence exceeds 10 days due to other reasons, the candidate will deposit Rs. 500/- as late fee on the recommendation of supervisor and HOD along with the prescribed fee.

6.2 Fee once paid to the University shall not be refunded except the caution money which shall be paid back to the Ph.D. scholars within three months after award of Ph.D. Degree or the cancellation of the registration, whichever is earlier.

Fee payable at the time of submission of thesis:

- (a) For evaluation of thesis - Rs. 3,000/- (for SC/ST/PH -Rs 1,500/-)
- (b) For viva-voce examination - Rs. 1,200/- (for SC/ST/PH -Rs 600/-)

6.3 On the recommendation of the supervisor and co-supervisor (if any) the Department Research Committee (DRC) may permit a Ph.D. scholar to pursue his/her research work at other University/Government recognized institution(s), if deemed fit for the cause of good research.

6.4 Only a full time regular teacher can act as supervisor. The DRC may appoint one co-supervisor in addition to the regular supervisor to promote inter-disciplinary research on the request of the scholar along with the consent of the supervisor and proposed co-supervisor. The co-supervisor may be from the same department/school/university or from other universities/Institutions/Centres. External Co-supervisor shall submit their CV to Chairman, DRC through his/her HOD for recognition in this University as Supervisor/Co-Supervisor. The DRC will consider his/her suitability as per University rules and recommend the case through BPGS/School Board to the Academic Council for recognition of Co-supervisor. The co-supervisor and the supervisor will consult each other in all matters pertaining to the progress of work of the candidate. The candidate may be allowed to work in labs outside the country as well, with a co supervisor, where progress would be monitored jointly.

6.5 The minimum period of registration is three years including course work. The maximum registration period for full time students shall be four years. However, the DRC, on the recommendation of the supervisor/Co-supervisor, may grant extension in the registration period by up to three semesters (i.e. 18 months). In special circumstances the Vice-Chancellor may grant further extension of one more semester

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(i.e. six months) on the recommendation of Supervisor, Head of the Department and Dean of the School. However, the candidate is not eligible for the UGC NON NET Fellowship/Scholarship during extension period.

- 6.6 Full fee shall be payable to the University by the M.Phil./Ph.D. scholars for all such extended periods, i.e. one year or six months as the case may be. For field study, the approval/permission is to be obtained by the scholar from the Head duly forwarded by the Supervisor.
- 6.7 M.Phil./Ph.D. scholars shall be required to be present in the University or in the Institution approved for the prescribed terms, their presence in the institution being duly recorded and maintained in the Department/Institution concerned by the supervisor/Co-supervisor as the case may be.
- 6.8 In case the appointed supervisor retires, he shall continue as supervisor, provided that candidate has worked under him/her for two years from the date of registration. In case appointed supervisor retires and candidate has worked under him/her for less than two years or on leave for more than a year, or otherwise, and there is no co-supervisor in the concerned Department to supervise the Ph.D. scholar, the allotment of the supervisor/co-supervisor shall be made by the DRC giving due consideration to the views of the original supervisor and the concerned candidate in this regard. In case a supervisor or co-supervisor is not alive, the DRC can recommend another supervisor through on the written request by the research scholar.
- 6.9 Whenever change of the supervisor becomes necessary, the period of research of the Ph.D. scholar may be extended on the request of the student. The DRC in each individual case shall consider and decide such period of extension duly recommended by the supervisor and the Head of the Department. No fellowship/scholarship shall be paid for the extended period.
- 6.10 A full time M.Phil./Ph.D. scholar shall not accept any paid assignment, apart from research fellowship or Research Assistantship, as the case may be, during the entire period of the research programme.
- 6.11 A M.Phil./Ph.D. scholar shall not be permitted to take any other degree course/diploma during his/her registration period except when it is a part-time certificate/diploma course.
- 6.12 The candidates who are in service having to take three years study leave from the employer to complete his/her Ph.D. programme. However, permanent Teaching/Non-teaching employee of the Babasaheb Bhimrao Ambedkar University, Lucknow of admitted under Supernumerary Quota will take only six months leave for the Course Work.
- 7. Allocation of Research Supervisor:** Eligibility criteria to be a Research Supervisor, Co-Supervisor and Number of M.Phil./Ph.D. scholars permissible per Supervisor, etc.
- 7.1 Any regular Professor of the University with at least five research publications in referred journals and any regular Associate/Assistant Professor of the University with a Ph.D. degree and at least two research publications in referred journals may

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be recognized as Research Supervisor provided that in areas/disciplines where there is none or only a limited number of referred journals, the Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

7.2 The allocation of Research Supervisor for a selected research scholar shall be decided by the DRC of Department concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview.

7.3 A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than three (03) M.Phil. and Eight (08) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of two (02) M.Phil and six (06) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of one (01) M. Phil and four (04) Ph.D. scholars.

(i) Vice Chancellor can be a research guide for students as per entitlement provided the candidates register in the first two years of his tenure. Also the approving authority for all matters pertaining to such candidates shall be the Dean Academic Affairs.

7.4 In case of relocation of an M.Phil./Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the BBAU for the part of research already done.

7.5. Change of Supervisor shall normally not be allowed. Only under special circumstances it may be considered by the D.R.C. and may be approved by the Vice Chancellor. If the supervisor of a candidate proceeds on long leave for a period of more than 12 months, or he/she proceeds on leave for a period of less than 12 months, but later extends his/her leave beyond 12 months, then prior to proceeding on leave beyond six months the supervisor must recommend/propose the name of authorized signatory / co-supervisor to the DRC with the consent of Research Scholar. However, if the candidate presents the Pre- Ph.D. seminar satisfactorily or submits the thesis, before the supervisor proceeds on leave, then the existing supervisor shall continue to be the supervisor. (b) If the supervisor of a candidate expires or is terminated from the University service or otherwise, he/she shall cease to be the supervisor. (c) In all the cases, where the existing supervisor of a candidate ceases to be the supervisor, the DRC shall appoint the co-supervisor, if any, as supervisor of the candidate, provided the co-supervisor is from the same discipline. If there is no co-supervisor for the concerned candidate or the co-supervisor is from a different discipline, then the DRC shall appoint a new supervisor from amongst the faculty members with the consent of scholar. This seat may be allotted beyond the maximum no. of seats permissible. A supervisor can take M.Phil./Ph.D. students before three years of his/her retirement. After completion of three years of the residential period, the same

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supervisor will continue till submission of thesis. However, DRC can appoint a co-supervisor for the M.Phil./Ph.D. Scholar with the consent of the supervisor and the scholar.

8. Course Work: Credit Requirements, number, duration, syllabus, minimum standards for completion, etc. will Beas per University Regulations amended from time to time.

8.1 The credit assigned to the M.Phil./Ph.D. course work shall be 16 credits as mentioned in detail below.

Semester-I (Course Work) [It will be common for both M.Phil. and Ph.D.]

Sr. No.	Course Code	Paper Title	Credit
1	MPH/DPH 101	Research Methodology	04
2	MPH/ DPH 102	Discipline Specific: Optional Paper	04
3	MPH/ DPH 103	Advanced Paper-I*	04
4	MPH/ DPH 104	Advanced Paper-II*	04
Total Credits			16

*The name and content of Advanced Papers to be decided by the respective DRC. However, it should be papers to be taught by faculty and Synopsis and Literature review will not be counted as Advance paper I and II.

8.2 Mode of Evaluation*

Course Code	Sessional	End Semester
MPH/ DPH 101	30%	70%
MPH/ DPH 102	30%	70%
MPH/ DPH 103	30%	70%
MPH/ DPH 104	30%	70%

*The composition of Sessional test (such as term paper, book review, presentation, written examination) may be decided in detail by the department. However, there shall be written test in the End Semester Examination based on the syllabus floated by the DRC in each course.

Semester-II for only M.Phil. Students

Sr. No.	Course Code	Paper Title	Credit
1	MPH-201	Dissertation	08
2	MPH-202	Pre-Presentation Seminar	04
3	MPH-203	Viva Voce	04
Total Credits			16

*Total credit for M.Phil. Programme shall be 32.

8.3 The course work shall be treated as prerequisite for M.Phil./Ph.D. Degree. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, advanced tool and techniques, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for M.Phil./Ph.D. degree. The course structure, mode of evaluation, the composition of sessional and End Semester examination to be approved by the appropriate academic bodies, viz., DRC, BPGS, School Board and Academic Council of BBAU.

8.4 All courses prescribed for M.Phil. and Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content,

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instructional and assessment methods. They shall be duly approved by the authorized academic bodies viz DRC, BPGS, School Board, Academic Council of BBAU.

8.5 The Department where the scholar pursues his/her research shall prescribe the course(s) to him/her based on the recommendations of the Departmental Research Committee (DRC), as stipulated under sub-clause 8.1.

8.6 Grades in the course work, including research methodology courses shall be finalized after a combined assessment by the DRC and the final grades shall be communicated to the concerned department.

8.7 A M.Phil./Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis.

9. Research Committees

9.1 Subject to the general provision of the academic council all matters connected with the Ph.D. program of the University shall be dealt with in accordance with these regulations by following committees-

- (i) The Research Degree Committee of the university (RDCU)
- (ii) The School Board (SB)
- (iii) The Board of Post Graduate Studies (BPGS)
- (iv) The Department Research Committee (DRC)
- (v) Research Advisory Committee (RAC)

9.2 The RDCU shall consist of the following:

- (i) Vice Chancellor (Chairman)
- (ii) Dean of the School Concerned
- (iii) Head of Department Concerned
- (iv) All members of the concerned Department Research Committee
- (v) Supervisor and co-supervisor (if any) of the Ph.D. scholar concerned.

The Controller of Examination Shall be the member Secretary and Convener to all the RDCU's.

9.3 DRC committee

9.3.1 The DRC shall consist of the following:

- (i) The Head of Department (Chairman)
- (ii) All Professors in the Department
- (iii) One Associate Professor and one Assistant Professor of the department concerned, by rotation according to the seniority for a period of two years provided such teachers have Ph.D degree.
- (iv) Supervisor and Co-Supervisor, if any, shall attend as special invitee(s) for disposal of cases of his/ her Ph.D. scholar.
- (v) One SC/ST nominee with Ph.D. degree and not below the rank of Associate Professor shall be nominated by the Vice Chancellor from amongst the

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teachers of the University for a period of two years, if there is no SC/ST member in the committee.

Generally DRC shall meet at least twice in a year, but special meeting may be held in case of necessity.

9.3.2 The DRC shall have power to co-opt such members of the concerned department as may be helpful to them in their deliberations.

9.4 DRC Functions

9.4.1 There shall be a DRC, or an equivalent body for similar purpose as defined in the Statutes/Ordinances of the University, for each M.Phil. and Ph.D. scholar. The Supervisor of the scholar shall be the member of this Committee. This Committee shall have the following responsibilities:

9.4.1.1 To review the research proposal and finalize the topic of research;

9.4.1.2 To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.

9.4.1.3 To periodically review and advise in the progress of the research work of the research scholar.

9.4.2 A research scholar shall appear before the DRC once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Department to the University through proper channel.

9.4.3 In case the progress of the research scholar is unsatisfactory, the DRC shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the DRC may recommend for cancellation of the registration of the research scholar to the University with specific reasons.

9.4.4 A record of the progress of work of Ph.D. scholars shall be kept by his/her supervisor. The six monthly progress report of the candidate shall be forwarded by the supervisor/co-supervisor (if any), as the case may be to the Head of the Department on a prescribed proforma, in triplicate. The concerned DRC shall consider and comment on the progress reports and forward the same with specific recommendations to the Dean. The subsequent six-monthly reports shall be sent in a similar manner. The first or second six monthly report shall indicate the specific topic of research, although not necessarily the precise title of thesis, which can be notified in subsequent DRC.

9.4.5 (i) Normally there should be minimum 75 % attendance of the Research Scholar (M.Phil./Ph.D. Students), based on the Departmental monthly records. In case of field or library based studies the requirement of attendance can be relaxed by the Head of Department on recommendation of the concerned supervisor. (ii) In case the research scholar is working in other Institutions/ Universities/Centre, with his/her co-supervisors, they may maintain their attendance in those institutions. (iii) However, scholars mentioned at para (ii) above will be required to be present in the University during the period of their course work i.e. one semester.

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9.4.6 Registration of M.Phil./Ph.D. scholar shall be liable to be cancelled by the DRC at any time if: (a) the course work is not completed. (b) Two consecutive six-monthly progress reports are not submitted at all or are not found satisfactory by DRC. (c) Attendance is not as per the requirements. (d) Admission may be cancelled at any time if the University finds any wrong information given by the candidate.

9.4.7 The DRC shall report to the BPGS all its proceedings pertaining to the M.Phil./Ph.D. programme in the department.

9.5 Research Advisory Committee (RAC) and its functions:

9.5.1 There shall be a Research Advisory Committee (RAC) for each registered M.Phil./Ph.D. scholar. The Research Supervisor is Convener of the committee and there will be two members working in a similar field, one from D.R.C. of the Department and another from School/University. This committee shall have the following functions/responsibilities:

9.5.1.1 To review the research proposal and finalize the topic of research.

9.5.1.2 To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to study.

9.5.1.3 To periodically review and assist in the progress of the research work of the research scholar.

9.5.1.4 The progress report of the Research Scholar will be approved by the Research Advisory Committee (RAC) and the same will be placed in the D.R.C. of the concerned Department for its confirmation.

9.5.2 A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress report shall be submitted by the Research Scholar through Research Advisory Committee to D.R.C. for forwarding to the Competent Authority. The copy of evaluation report of R.A.C. may be given to Research Scholar also.

9.5.3 In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the D.R.C. with specific reasons for recommendation of cancellation of the registration of the research scholar.

10. Requirement for Dissertation/Thesis submission:

10.1.1. On completion of research work for the M.Phil./Ph.D. by the candidate, Head of the Department, on recommendation of the supervisor, shall organize a pre M.Phil./Ph.D. seminar by the candidate to apprise the DRC and other teachers and research scholars of the department regarding the work done by the candidate and to receive suggestion(s) for improvement of the research work, if any.

10.1.2. After the seminar, the supervisor shall forward the application of the candidate for submission of the thesis along with a certificate about completion of the seminar and copy of one research paper in referred journal or presentation of one paper in conference/seminar for M.Phil. and two research papers

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(excluding review papers and book chapters) for Ph.D. scholar published in referred journals and the exact title of the dissertation/thesis duly approved by the DRC.

11. Evaluation and Assessment Methods, Minimum Standards/Credits for Award of the Degree, etc.:

11.1 The overall credit requirement, including credit for the course work for the award of M.Phil. degree shall be 32 credits.

11.2 Upon satisfactory completion of course work, as the case may be, the M.Phil./Ph.D. scholar shall be required to present the synopsis within one month before the DRC.

11.3 Prior to the submission of the M.Phil. dissertation/ Ph.D. thesis, the scholar shall make a presentation before the DRC concerned which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Departmental Research Committee (DRC). A scholar shall ordinarily supplicate his/her M.Phil. Dissertation within three months and Ph.D. thesis within six months from the date of his/her pre submission seminar, through the supervisor and shall forward therewith:

(i) Four copies of dissertation/thesis printed and one soft copy in PDF format along with four copies of the abstract of the work done giving the salient points.

(ii) A certificate from the Supervisor and the Head of the department after obtaining a declaration from the scholar to the effect that "the candidate has completed the research work for the full period prescribed and the dissertation/thesis embodies the results of his/her investigation conducted during the period he/she worked as a M.Phil./Ph.D. research scholar".

(iii) A no-dues certificate from all the concerned Departments obtained by the research scholar.

11.4 The dissertation/thesis submitted by the candidate shall be in English or any other language approved by the concerned BPGS on recommendation of the DRC.

11.5 The M.Phil./Ph.D. scholar shall indicate in the Preface of his/her thesis how far the later embodies the original result of his/her own research or observations, and in what respects his/her investigation appear to him/her to advance the knowledge on the subject.

11.6 No M.Phil./Ph.D. scholar shall submit any work which has been accepted or rejected for any other degree in this or any other University as his/her thesis. Provided that in such a case, he/she shall indicate in his/her application that in the Preface of his/her thesis, the extent to which such a work, previously done, had been incorporated.

11.7 M.Phil. scholars shall present at least one (01) research paper in a conference/seminar and Ph.D. scholars must publish at least two (02) research paper in refereed journal and make two paper presentations in

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conferences/seminars before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.

- 11.8** The University shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty as per the latest UGC guidelines. While submitting for evaluation, the dissertation/thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same University/Institution where the work was carried out, or to any other University. A certificate/undertaking by the candidate to the effect that "the dissertation/thesis is essentially free from all kinds of plagiarism" as per the University Grants Commission (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018.
- 11.9** The M.Phil Dissertation submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least one external examiner who is not in the employment of the University. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by both of them together, and shall be open to be attended by Members of the DRC, all faculty members of the Department, other research scholars and other interested experts/researchers.
- 11.10** The University shall develop appropriate methods so as to complete the entire process of evaluation of M.Phil. dissertation/ Ph.D. thesis within a period of three/six months from the date of submission of the dissertation/thesis respectively.
- 11.11** Upon completion of the proposed research work, the scholar shall produce a draft dissertation/thesis that shall be submitted for evaluation as per the provisions of the regulation. The concerned supervisor shall submit a panel of four/eight examiners for M.Phil/Ph.D. dissertation/thesis evaluation to the Department and subsequently the list approved by the DRC of the concerned department. Four copies of dissertation/thesis along with abstract, published paper as per clause no. 11.4 and other relevant documents shall be submitted by the department to the Controller of Examinations (COE). The panel of examiners shall be submitted by COE to the Vice-Chancellor for appointment of external examiner(s). Between the two external examiners for Ph.D., one will be nominated by the Vice Chancellor to conduct Viva-Voce examination of the scholar. The supervisor will be internal examiner for Viva-Voce examination and will be authorize to contact external examiners for dates etc. Following are the criteria for recommending the external examiner(s) for the submission of panel of the examiners for evaluation of M.Phil./Ph.D. thesis evaluation. (i) The examiner should not be below the rank of Professor or Equivalent (ii) No examiner will be repeated for one year (iii) The examiner should be beyond 200 km from within the state or from other state (iv) More than one examiner should not be from the same University/Institution/Organization etc. The panel of recommended external examiners should contain the correct postal & e-mail address and telephone numbers for the speedy evaluation of the thesis. The COE shall get in touch with

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each examiner and send the offer of Examiner-ship along with a copy of the abstract of the thesis for acceptance.

11.12 (a) An examiner shall ordinarily be required to accept the appointment offered by the University within a month from the issue of the letter. If no information is received from an examiner within one-month time from the date of issue of the letter of appointment, her/his appointment shall stand cancelled and another examiner shall be appointed. After acceptance received from the examiner, Ph.D. thesis may be sent for evaluation.

(b) On receipt of the acceptance of any examiner, the Controller of Examinations shall forward the copy of the dissertation/thesis to him/her and take follow up action to get the report of the examiner expeditiously.

(c) The examiners shall be requested to submit their individual reports within two months of the receipt of the dissertation/thesis.

11.13 The examiner(s) may recommend one of the following, (a) that the thesis be accepted for the award of the Ph.D. (b) that the thesis be re-submitted in a revised form. (c) that the thesis shall be rejected. In case one or more examiners seek clarification or recommend revision, the report(s) of the examiner(s) concerned (without mentioning the name(s) shall be sent to the Ph.D. scholar through the supervisor concerned to enable the former to submit clarification through the supervisor on the points raised or to submit the thesis in a revised form, as the case may be. The clarification shall be sent to concerned examiner(s) for perusal and if examiner(s) is satisfied, he/she will make specific recommendation for the award of the degree; forward the copy of the thesis and the Controller of Examination will take suitable action(s) to get the report of the examiner(s) expeditiously. Similar rules would apply for M. Phil thesis as well. A thesis once submitted, cannot be re-submitted except when so directed by the examiners. The revised thesis must be submitted within six months after the requirement of revision is notified.

11.14 The report of each examiner shall be specific and state the grounds on which the recommendation is based.

11.15 The open viva-voce of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the dissertation/thesis is/are satisfactory and include a specific recommendation for conducting the viva-voce examination. If the evaluation report of the external examiner in case of M.Phil. Dissertation, or one of the evaluation report(s) of the external examiner in case of Ph.D. thesis, is unsatisfactory and does not recommend viva-voce, the University shall send the dissertation/thesis to another external examiner out of the approved panel of examiners and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the dissertation/ thesis shall be rejected and the scholar shall be declared ineligible for the award of the M.Phil/Ph.D degree.

11.16 In case of unsatisfactory report of two external examiners, the thesis shall be rejected.

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11.17 If the examiners' reports are unanimous, the Open Viva-voce examination of the Ph.D candidate shall be conducted by a Board consisting of the Head of the Department (Chairman), Supervisor (also Co-supervisor, if any) as member(s) and one External Examiner who have examined the dissertation/thesis. The date and time of the viva-voce examination shall be fixed by the Supervisor in consultation with the external examiner, chairman and other members, if any. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the DRC, all faculty members of the Department, other research scholars and other interested experts/researchers.

11.18 The external Examiner shall submit a comprehensive report on the Viva-voce examination of the candidate regarding his/her performance, including the response of scholar on questions raised. The Board (as mentioned in 11.14) conducting the Viva-voce shall make the final recommendation for the award of the Ph.D. degree taking into consideration the candidate's performance at the Viva-voce and the reports of the examiners.

11.19 The reports of all the examiners and of the Viva-voce examination shall be placed before the concerned RDCU for consideration. In case of unanimous recommendations, the RDCU shall approve the award of the Ph.D. degree. The candidate shall not be required to be present before the same.

11.20 In all other cases or wherever reports are ambiguous or not adequately covered by clauses 11.10, 11.12 and 11.14, the examiners' reports shall be directly placed before the concerned RDCU for its specific decision.

11.21 The candidate shall, in case of publication of the thesis in full or in part, state on the title page that it was a thesis approved for the award of the M.Phil/Ph.D. degree of Babasaheb Bhimrao Ambedkar University, Lucknow.

11.22 After the approval of the Academic Council, the examiners reports may be made available to the candidate concerned, on request after depositing fee of Rs. 500/-

12. Treatment of Ph.D. / M.Phil. through Distance Mode/Part-time:

12.1 The University shall not conduct M.Phil. and Ph.D. Programmes through distance education mode or part time mode.

13. Award of M.Phil./Ph.D. degrees prior to Notification of these Regulations, or degrees awarded by foreign Universities:

13.1 Award of degrees to candidates registered for the M.Phil./Ph.D. Programme on or after July 11, 2009 till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and procedure for Awards of M.Phil./Ph.D. Degree) Regulation, 2009.

13.2 If the M.Phil./Ph.D. degree is awarded by a Foreign University, the Indian University/Institution considering such a degree shall refer the issue to a Standing

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Committee constituted by the concerned University/Institution for the purpose of determining the equivalence of the degree awarded by the foreign University.

14. Difficulty:

Notwithstanding anything contained in these Regulation, any question, which is not covered by the Regulation, or any difficulty arising out of this Regulation, shall be dealt with by the Academic Council.

15. Depository with INFLIBNET:

15.1 Following the successful completion of the evaluation process and before the announcement of the award of the M.Phil./Ph.D. degree(s), the University shall submit an electronic copy of the M.Phil. dissertation /Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all University/Institutions/Colleges.

15.2 Prior to the actual award of the degree, the University shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of UGC Regulations, 2016 as amended upto date.

16. Repeal:

From the date when Ph.D. Regulations comes into operation all previous regulations on the subject shall cease to have effect. Provided that this revocation shall not affect the previous regulations so revoked or anything done or suffered under any previous regulations so revoked or affects any right, privilege, obligation or liability acquired, arrived or incurred under any regulations so revoked.

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Code of Conduct Rules

Annexure II

PREPARED, DISCUSSED AND PASSED IN THE COMMITTEE MEETING HELD ON 13.06.2019
AT 3:00PM IN BOARD ROOM

CODE OF CONDUCT OF STUDENTS

BABASAHEB BHIMRAO AMBEDKAR UNIVERSITY, LUCKNOW-226025

Preamble

Wherefore, Babasaheb Bhimrao Ambedkar University strives to provide excellent ambience for teaching, research and learning. Inherent to this focus is the responsibility of the university to educate its students to be more responsible, towards the university and society at large. The Code and Conduct of Student outlines disciplinary policies that pertain to students and is designed to provide information to students regarding the ideals that underlie our academic mission, and the expectations that the university has from its students regarding the behavior appropriate for this university.

Whereas, by virtue of section 5 sub-section XXV of the BBAU Act read with Statute 3(5) and 31(1) of the Statutes of the University, the Vice Chancellor has been vested with all the powers relating to discipline and disciplinary action in relation to students and whereas Statute 31 sub-section (5) empowers the University to frame detailed rules of discipline and proper conduct; and now in pursuance of the same the Vice-Chancellor has approved the following rules of discipline and proper conduct among the students of the University.

Short title and Commencement

- i. These Rules shall be called "The BBAU Students' Discipline and Conduct Rules", hereafter referred to as the "Rules".
- ii. These Rules shall come into force with effect from the date of notification.

Scope of Code and Conduct of Student Discipline

The student discipline system in the University is envisaged for an educational system and is not representative of court of law. Therefore, procedural issues, including the introduction and consideration of evidence, will be handled in a manner consistent with the educational focus. Where the allegations are more serious, leading to serious consequences and the criminal and civil courts are the only options then the university may resort to such legal processes including FIR etc. If a student or a group of students are incited by staff, faculty, or other students for a possible violation of civil laws and/or University policies on campus, they may be subject to either the disciplinary process of the University and/or appropriate legal processes may be taken. It is noteworthy that in case of any FIR is registered against any student by the university then suspension of such student is implied in it. However, in case the FIR is lodged against a student by any person due to his in/off campus behavior which can significantly impact the university a formal disciplinary action may be initiated immediately.

Further, in the fitness of things it is imperative to understand that university as an educational institution is a correctional facility in itself and therefore should refrain from filing FIRs against the students and to ensure discipline it must strengthen and rely upon the disciplinary committee and Proctorial board for swift and justifiable actions.

Violations

Violation has been broadly divided into three categories based on where and which context the offense were detected namely Hostel level, Department level and University level. The various grounds attracting disciplinary proceedings are provided in the subsequent paragraph.

Page 1

Composition of Disciplinary Committee

The disciplinary committee will be constituted by the Vice Chancellor for a term of two years and the composition of the committee will as follows:

1	Senior Most Dean of a School	Chairman
2	Proctor	Member
3	Dean Students' Welfare	Member
4	In charge SC/ST cell	Member
5	Chairperson (Women/ICC)	Member
6	Dean of Concerned School	Member
7	Head of Concerned Department	Member
8	Supervisor (in case of M.Phil. & Ph.D. students)	Member
9	Administrative Warden of the Concerned Hostel (in case of hostel inmate)	Member
10	Any other member(s) (to be nominated by the Vice Chancellor, if required)	Member
	Security In-Charge	Secretary

Note: 50% attendance of members is required to complete the quorum in general; however, an urgent matter may not require any quorum.

Modalities of Investigation

When information regarding alleged violation of any rule or regulation by the student is reported, the proctor shall investigate the same with the help of Proctorial board members, the proctor may also include such members from the university staff as he may deem fit or necessary for the investigation. The procedure of enquiry may be as follows:

- a) The Proctor is vested with the power to summon the student(s) in writing to appear at a specified date, time, and place in connection with an alleged violation.
- b) The disciplinary Committee is vested with the power to dispose of any violation committed by the student if the same is found to be baseless.
- c) In case Proctor finds substance in the complaint of violation committed by the students he/she will refer the matter to the disciplinary committee with his/her findings in form of charge sheet.
- d) Commensurate with the gravity of the indiscipline, disciplinary committee may recommend appropriate punishments like fine, community work, awarding F-grade, suspension, rustication or expulsion from the university.
- e) The students who fail without a cause, to comply with such summons or letter of notice issued by the Proctor or the Chairman of disciplinary committee may be recommended for placing on disciplinary probation, temporary suspension, or barring against readmission.
- f) No punishment shall ordinarily be imposed on a student unless he/she is found guilty of the offence for which he/she has been charged by proctor or any other inquiry after following the normal procedure and providing due opportunity to the student charged for the offence to defend himself in front of disciplinary committee.
- g) In case the Vice-Chancellor or any competent authority is of the opinion that on the basis of the available material and evidence on record a prima facie case exists against a student he may order suspension of the student including withdrawal of any or all facilities available to a bona fide student

pending disciplinary committee proceedings.

Grounds of Disciplinary Proceedings

All students are responsible for conducting themselves in a manner that beholds the rights, dignity, and freedom of each member of the university.

A student found responsible for the violation of any clause outlined in this section is subject to strict disciplinary action. Proctor may initiate enquiry against student(s) suspected of violating the Code of Conduct for the University student outlined herein and/or elsewhere within the jurisdiction of the Ordinances and Regulations of the University with the help of Proctorial Board. However, if FIR is lodged by the university, suspension of the student would be done immediately effect.

Based on the gravity of misconduct and / or indiscipline the offense are categorized into two categories i.e. category I and category II.

Category I

- 1.1.1. All acts of violence and all forms of coercion such as gheraos, sit-ins or any variation of the same which disrupt the normal academic and administrative functioning of the University and or any act which incites or leads to violence and damage to university property.
- 1.1.2. Gheraos, laying siege or staging demonstrations around the residence of any member of the University or any other form of coercion, intimidation or disturbance of right to privacy of the university fraternity.
- 1.1.3. Sexual Harassment/misconduct: Sexual harassment of any kind which shall also include:
 - I. Unwelcome sexual proposition/ advancements, sexually offensive pictorial comments, unwelcome touching, patting pinching or leering of parts of the body or persistent offensive or unwelcome sexual jokes or comments.
 - II. Offensive or derogatory comments or conducts reflecting gender-bias which create intimidating environment and which represent substantial violations of the rights or opportunities of the *victim(s)*. Such conducts include but are not limited to:
 - a. Conducts that violate the University's policies prohibiting sexual harassment, such as unwelcome sexual advances, requests for sexual favors, and other unwelcome verbal or written communications of a sexual nature.
 - b. The use of phone, email or any other method designed to transmit messages or materials of an explicit sexual nature that are unwanted by the recipient.
 - c. Any act of moral turpitude.
- 1.1.4. Any violation of any sort pertinent to the Indian Penal Code leading to FIR by the university then suspension of the student is done with immediate effect.

Category II

- 1.1.5. Violation of any published BBAU policies, rules, or regulations. It is the responsibility of the student to be familiar with all BBAU policies that refer to appropriate behavior on the campus or off the campus.
- 1.1.6. Ragging in any form is prohibited in the premises of BBAU campus.
- 1.1.7. Failing to comply with orders or directives of BBAU officials, University authorities, University Security Personnel, or any other law enforcement officers performing their duties.
- 1.1.8. Furnishing false or misleading information to university, staff, student, or law enforcement official acting in an official capacity.
- 1.1.9. Failure to appear and/or report to any BBAU office in conjunction with any disciplinary matter, at the time specified or to request alternative appointment.
- 1.1.10. Violation of BBAU policies causing threat to academic integrity.

- 1.1.11. Forgery, alteration, destruction, misuse, and unauthorized possession of official BBAU documents, including University identification cards or records without authorization. Violations include, but are not limited to, forgery of applications for financial aid, admission, course changes or course credit, copying, misuse or alteration of parking permits, alteration or misuse of transcripts, and student identification cards etc.
- 1.1.12. Abuse of the University disciplinary system, including but not limited to
- Knowing falsification or misrepresentation of information presented to any university authority.
 - Interference with university functioning through coercion, intimidation, threats, or bribery.
 - Failure to promptly obey any mandate of any BBAU authority.
 - Lodging of a complaint with prior knowledge based on unfounded facts.
 - Influencing or attempting to influence another person to commit or abuse the Code and Conduct of Students.
- 1.1.13. Damage, defacement, or destruction of University property or property of the members of university fraternity.
- 1.1.14. Attempted or actual theft or possession of University property of the university fraternity.
- 1.1.15. Possession, duplication, or use of keys to any BBAU premises without authorization; entry or use of University premises without permission.
- 1.1.16. Violation of the BBAU Code of Computing Practices, including but not limited to, the misuse of BBAU computers or computer network.
- 1.1.17. Violation and/or sabotage of safety systems, including but not limited to, the below listed behaviors as well as any other behavior prohibited by the University rules/regulations.
- Unauthorized use, abuse, or interference with fire protection equipment or other safety equipment's which could result in death, injury, or substantial damage to university property.
 - Intentional setting off of false fire alarms.
 - Bomb threats or similar threats involving dangerous explosive devices or substances.
 - Behavior which leads to significant hazard (fire/water/air etc.).
- 1.1.18. Conducts that endanger the health or safety of members of the BBAU community or other persons.
- 1.1.19. In campus, violation of Government rules/guidelines pertaining to traffic rules, all inclusive of two, three and four wheelers, that jeopardizes orderly traffic and safe journey on the road.
- 1.1.20. Disorderly conducts including, but not limited to, verbal abuses or inappropriate behaviors or any other activities or behavior prohibited by the University authority.
- 1.1.21. Instigation of a disturbance to others in BBAU by using non-permissible level of noise.
- 1.1.22. Discriminations against any member of the BBAU community, or a visitor, through biased or prejudiced behaviors related to the person's race, color, nationality, sex, religion, disability, age or sexual orientation.
- 1.1.23. Failing to discourage/prevent illegal activity or violation of the Code and Conduct of Student by active/passive participation during the activity
- 1.1.24. Physical abuse, including, but not limited to, inflicting or threatening bodily harm upon any person, or acting in a manner which creates a risk of bodily harm to any person.
- 1.1.25. Harassment, abuse, coercion, or threats by means other than the use of physical force. These include, but are not limited to, any behavior prohibited by the law.
- 1.1.26. Gambling for money or other items of value on BBAU premises; including but not limited to, playing cards or other games of chance or skill for money or other items of value.
- 1.1.27. Use or possession of a controlled substance, narcotic or drug paraphernalia, including but not limited to, any actions or activities deemed prohibited by the University authority /Government of India.
- 1.1.28. Sale, manufacture, or intent to manufacture a controlled substance, narcotic or drug paraphernalia, including but not limited to, any actions or activities prohibited by the University authority/

Government of India.

- 1.1.29. Possession or use of any dangerous/ prohibited weapons/chemicals without proper authorization by competent BBAU official.
- 1.1.30. Possession or use of any explosive device or material, including but not limited to, firecrackers, cherry bombs, bottle rockets, and dynamite without proper authorization by authorized BBAU official.
- 1.1.31. Interfering with the rights or safety of one's roommate(s) and/or other students or creating a hostile environment within the hostels.
- 1.1.32. Using barbecue grills or gas grills in any residence hostel facility. Items such as toasters, toaster ovens, hot plates, electric skillets or appliances with an open heating element are prohibited in hostel rooms.
- 1.1.33. Alterations, additions, and/or unauthorized use of furnishings and fixtures within university premises.
- 1.1.34. Playing of sports or activities that present a risk of injury to other persons or properties within hostels.
- 1.1.35. University premises are prohibited for use of liquor/narcotics or other prohibited items.
- 1.1.36. Permitting non-resident hostel students to utilize equipment or services intended for the exclusive use of hostel students.
- 1.1.37. BBAU Hostel facilities are not open to unauthorized residents. Hostels are special purpose buildings and are not open to the general public.
- 1.1.38. All guests visiting hostels after due approval of wardens must be escorted by their hosts at all times in the hostel.
- 1.1.39. The host is responsible for familiarizing the guest with pertinent BBAU rules and regulations and is responsible for the conduct of the guest(s).
- 1.1.40. Any other act which may be considered by the competent authority as an act of violation of discipline and conduct.

Punishment

The competent authority on the recommendation of Discipline committee may impose any of the following punishments on any student found guilty of any of the acts of indiscipline or misconduct mentioned in Category-I or Category-II above.

Category I

- 1) Cancellation of admission or withdrawal of degree or denial of registration for a specified period.
- 2) Rustication upto four semester period and/or declaring any part or the entire BBAU Campus out of bounds.
- 3) Expulsion

Category II

- 1) Admonition/Reprimand
- 2) Fine upto Rs. 20,000/-
- 3) Recovery of any kind, such as scholarship/fellowship, any dues, cost of damages, etc.
- 4) Withdrawal of any or all facilities available to a student as per, BBAU Rules (such as Scholarship/Fellowship, hostel etc)
- 5) Denial of any or all academic processes.
- 6) Declaring hostels, premises, building or the entire BBAU Campus out of bounds to any students.
- 7) Rustication upto two semesters.

Notwithstanding any punishment mentioned in these rules, the Chairman, Disciplinary committee may

keeping in view the gravity/nature of misconduct/act of indiscipline, the manner and the circumstances in which the misconduct/indiscipline has been committed may award a punishment in excess of or less than or other than what has been mentioned thereon for reasons to be recorded.

Interpretation and Appeal

Interpretations of provisions within the Code of Conduct for Students in case of any doubt may be solicited from the Proctor. However, in case any dispute arises with regard to the construal of any of these Rules and/or if the aggrieved wants to prefer an appeal against the decision(s) of Disciplinary committee, the matter shall be referred to the Vice-Chancellor for adjudication. Further, if still aggrieved he may prefer an appeal under section 32 sub section (2) and section 33 of the BBAU Act to the BOM.

2/2/24

AFFIDAVIT BY THE STUDENT

Annexure- III

- 1) I, Full name of student with admission/registration/ enrolment number) S/o D/o Mr. /Mrs./ Ms
_____ have been admitted to
_____ (name of the institution) _____, have
received a copy of the UGC Regulations on Curbing the Menace of Ragging in Higher Educational Institutions, 2009 ,
(hereinafter called the "Regulations") carefully read and fully understood the provisions contained in the said Regulations.
- 2) I have, in particular, perused clause 3 of the Regulations and am aware as to what constitutes ragging.
- 3) I have also, in particular, perused clause 7 and clause 9.1 of the Regulations and am fully aware of the penal and
administrative action that is liable to be taken against me in case I am found guilty of or abetting ragging, actively or
passively, or being part of conspiracy to promote ragging.
- 4) I hereby solemnly aver and undertake that -
a) I will not indulge in any behaviour or act that may be constituted as ragging under clause 3 of the Regulations.
b) I will not participate in or abet or propagate through any act of commission or omission that may be
constituted as ragging under clause 3 of the Regulations.
- 5) I hereby affirm that, if found guilty of ragging, I am liable for punishment according to clause 9.1 of the Regulations, without
prejudice to any other criminal action that may be taken against me under any penal law for the time being in force.
- 6) I hereby declare that I have not been expelled or debarred from admission in any institution in the country on account of
being found guilty of, abetting or being part of a conspiracy to promote, ragging; and further affirm that, in case the
declaration is found to be untrue, I am aware that my admission is liable to be cancelled.
Declared this ____ day of _____ month of _____ year.

Signature of Deponent

Name:

VERIFICATION

Verified that the contents of this affidavit are true to the best of my knowledge and no part of the affidavit is false and
nothing has been concealed or misstated therein.

Verified at _____ (Place) _____ on this the _____ (day) of
_____ (month), _____ (year) _____.

Signature of Deponent

Solemnly affirmed and signed in my presence on this the _____ (day) of _____ (month),
_____ (Year) after reading the contents of this affidavit.

OATH COMMISSIONER

AFFIDAVIT BY THE PARENT/GUARDIAN

Annexure- IV

- 1) I, Mr./Mrs./ Ms _____, (full name of parent/ guardian) father/ mother/guardian of, _____ (full name of student with admission/registration/ enrolment number) _____, having been admitted to _____ (name of the institution) _____, have received a copy of the UGC Regulation on Curbing the Menace of Ragging in Higher Educational Institutions, 2009, (hereinafter called the "Regulation"), carefully read and fully understood the provisions contained in the said Regulations.
 - 2) I have, in particular, perused clause 3 of the Regulations and am aware as to what constitutes ragging.
 - 3) I have also, in particular, perused clause 7 and clause 9.1 of the Regulations and am fully aware of the penal and administrative action that is liable to be taken against my ward in case he/she is found guilty of or abetting ragging, actively or passively, or being part of a conspiracy to promote ragging.
 - 4) I hereby solemnly aver and undertake that -
 - a. My ward will not indulge in any behaviour or act that may be constituted as ragging under clause 3 of the regulations.
 - b. My ward will not participate in or abet or propagate through any act of commission or omission that may be constituted as ragging under clause 3 of the Regulations.
 - 5) I hereby affirm that, if found guilty of ragging, my ward is liable for punishment according to clause 9.1 of the Regulations, without prejudice to any other criminal action that may be taken against me under any penal law or any law for the time being in force.
 - 6) I hereby declare that my ward has not been expelled or debarred from admission in any institution in the country on account of being found guilty of, abetting or being part of a conspiracy to promote, ragging; and further affirm that, in case the declaration is found to be untrue, I am aware that his/her admission is liable to be cancelled.
- Declared this ____ day of _____ month of _____ year.

Signature of Deponent

Name: _____
Address: _____
Tele. /Mob. : _____

VERIFICATION

Verified that the contents of this affidavit are true to the best of my knowledge and no part of the affidavit is false and nothing has been concealed or misstated therein.

Verified at _____ (Place) _____ on this the _____ (day) of _____ (month), _____ (year) _____.

Solemnly affirmed and signed in my presence on this the _____ (day) of _____ (month), _____ (Year) after reading the contents of this affidavit.

Signature of Deponent

OATH COMMISSIONER

DISCLAIMER

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CAUTION

In case of non-compliance of any of the requirements for admission including the non-submission of relevant documents and / or non-payment of Fee within the prescribed date and time, the candidate will lose his / her right to admission.

If at any stage original documents relating to the admission of a candidate are found to be fake/ non-genuine or fabricated or in any other manner defective, the said candidate will not be admitted and if already admitted, admission will be cancelled without any prior notice in this regard. If the same is found after the completion of course, his / her degree will be cancelled and appropriate legal action will be taken against him/her.